



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/006,790

12/04/2001

Tomoaki Masuda

04558/059001

CONFIRMATION NO. 9906

## FORMALITIES LETTER



\*OC000000007356814\*

ROSENTHAL & OSHA L.L.P.  
Suite 2800  
1221 McKinney  
Houston, TX 77010

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

*Alien Masuda*

04/08/2002 JADD01  
01 FC:101  
02 FC:105  
03 FC:139  
04 FC:102  
00000053 10006790  
740.00  
130.00  
130.00  
84.00

10006790-04558-059001

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
04558/059001

In Re Application Of: Tomoaki MASUDA et al.  
APR 05 2002

Serial No.  
10/006,790

Filing Date  
December 4, 2001

Examiner

Group Art Unit

Invention: **OPTICAL COMPENSATING FILM, POLARIZING PLATE AND A LIQUID CRYSTAL DISPLAY  
USING THE SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
January 24, 2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☒ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Information Disclosure Statement,  
PTO-1449 with listed references

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**04558/059001**

In Re Application Of: **Tomoaki MASUDA et al.**

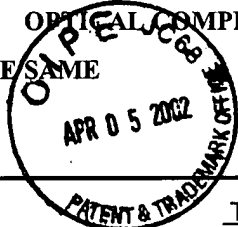
Serial No.  
**10/006,790**

Filing Date  
**December 4, 2001**

Examiner

Group Art Unit

Invention: **OPTICAL COMPENSATING FILM, POLARIZING PLATE AND A LIQUID CRYSTAL DISPLAY  
USING THE SAME**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

|                                                                                                                                 |                             |
|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee                                                              | <u>\$740.00</u>             |
| <input type="checkbox"/> Design application filing fee                                                                          | <u>                    </u> |
| <input checked="" type="checkbox"/> Total number of independent claims = <u>4</u>                                               | <u>\$84.00</u>              |
| <input checked="" type="checkbox"/> Total number of claims = <u>14</u>                                                          | <u>                    </u> |
| <input type="checkbox"/> Multiple dependent claims                                                                              | <u>                    </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$130.00</u>             |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | <u>                    </u> |
| <input checked="" type="checkbox"/> Fee for processing an application filed with a non-English language specification           | <u>\$130.00</u>             |
| <input type="checkbox"/> Fee for processing and retention of application                                                        | <u>                    </u> |
| Total completion of application fees                                                                                            | <u>\$1,084.00</u>           |

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from:                      Date      until:                      Date

Total time extension fees                     

Total fees due \$1,084.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
04558/059001

In Re Application Of: Tomoaki MASUDA et al.

Serial No.

10/006,790

Filing Date

December 4, 2001

Examiner

Group Art Unit

Invention: **OPTICAL COMPENSATING FILM, POLARIZING PLATE AND A LIQUID CRYSTAL DISPLAY  
USING THE SAME**

APR 05 2002

PATENT & TRADEMARK OFFICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,084.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591
- A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
- A duplicate copy of this sheet is enclosed.

J nathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney Avenue, Suite 2800  
Houston, Texas 77010

Telephone: 713-228-8600  
Facsimile: 713-228-8778

Dated: 3/25/02

Signature

I certify that this document and fee is being deposited on 3/25/2002 with the U.S. Postal Service as first class mail under 3/ C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Tawana L. Garcia

Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

CC:



PATENT  
ATTORNEY DOCKET NO. 04558.059001  
U.S. PATENT APPLICATION NO. 10/006,790

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tomoaki MASUDA et al.

Art Unit :

Serial No.: 10/006,790

Examiner :

Filed : December 4, 2001

Title : OPTICAL COMPENSATING FILM, POLARIZING PLATE AND A LIQUID  
CRYSTAL DISPLAY USING THE SAME

Assistant Commissioner for Patents  
Washington, DC 20231

**VERIFICATION OF TRANSLATION**

I, Kyoko HIRANO of c/o IKEUCHI • SATO & PARTNER PATENT  
ATTORNEYS, 26<sup>th</sup> Floor, OAP TOWER, 8-30, Tenmabashi 1-chome, Kita-ku,  
Osaka-shi, Osaka 530-6026, JAPAN, hereby declare as follows:

- (1) I am fluent in both the Japanese and English languages;
- (2) I have read both the translation of the above-identified application from Japanese to English and the original Japanese text;
- (3) The English translation is a true and correct translation of the above-identified application to the best of my knowledge; and
- (4) That all statements made are of my own knowledge, are true, and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: March 25, 2002

By: Kyoko Hirano

27358\_1